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# **Emotion Recognition from Speech Using Deep Learning for Human-Robot Interaction**

## Anjali Saini<sup>1</sup>, Sagar Choudhary<sup>2</sup>, Aastha Verma<sup>3</sup>, Shilpy Sharma<sup>4</sup>

1,3 B.Tech Student, Department of CSE, Quantum University, Roorkee, India.

<sup>2,4</sup> Assistant Professor, Department of CSE, Quantum University, Roorkee, India.

## ABSTRACT

This paper introduces a smart system that helps robots recognize human emotions from speech. The system uses advanced AI techniques, like deep learning, to understand emotions even in noisy places. It works with multiple microphones to pick up speech clearly from a distance.

We trained the AI using special datasets that mimic real-life robot interactions. To check how well it works, we used different tests (like accuracy and F1-scores). Recent research shows that AI-based sound filtering (called "neural beamforming") improves emotion detection by over 15% compared to older methods.

Our model also uses a special attention-based design, inspired by other successful AI systems. Tests show that our method works better than older ones, making it a big step forward for emotion recognition in robots. This could help robots interact with people in a more natural and caring way.

Recent advancements have enabled robots to better understand human emotions through speech. Impressively, this technology works effectively even in noisy environments, making it more practical for real-world applications. By leveraging the latest AI techniques, researchers have significantly improved the accuracy of emotional recognition. This breakthrough allows robots to respond more empathetically and emotionally when interacting with people. Ultimately, this research marks a significant step toward creating robots that can genuinely understand and connect with human feelings.

Keywords: Speech Emotion Recognition (SER), Human-Robot Interaction (HRI), Deep Learning, Neural Beamforming, Attention Mechanism.

## Introduction

Robots are now being used in healthcare, education, and customer service. To work well with humans, robots need to understand emotions in our voices—like happiness, anger, or sadness. This helps them respond in the right way, making interactions smoother and more natural.

However, recognizing emotions from speech in real-world settings is hard. Unlike in quiet labs, robots often work in noisy places (like hospitals or stores) where sounds echo and background noise interferes. This can make it tough for robots to pick up emotional cues accurately.[1]

That's why we need better technology to help robots understand human emotions, even in noisy environments. Our research focuses on solving this problem to make robots more helpful and trustworthy in everyday life.

For robots to interact effectively with humans, it is essential that they understand emotions conveyed through speech. Recognizing emotions such as happiness or frustration enables robots to respond in ways that are more appropriate and natural. However, real-world challenges like background noise and varying distances can make emotion recognition quite difficult. The latest work in this field focuses on improving how robots detect emotions, even in noisy environments, making human-robot interaction more accurate and meaningful.[2]

## **Problem Statement**

Our goal is to create a system that helps robots recognize human emotions from speech—even when the person is far away or there's background noise.

How?

- We focus on real-world situations where a robot listens to people using its built-in microphones.
- The robot needs to detect emotions like neutral, happy, sad, or angry—even in noisy rooms.

## Why is this hard?

- In real life, speech gets distorted by distance, echoes, and noise (like fans or other people talking).
- Most emotion recognition systems are tested in quiet labs, not real-world conditions.

## **Our Solution:**

We're building a smarter system that can handle these challenges, making robots better at understanding emotions in everyday environments.[3-6]

#### **Objectives**

We want to build a smart system that helps robots better understand human emotions from speech—even in noisy, real-world environments. Here's how we'll do it:

#### 1. Build a Strong AI System for Emotion Recognition

- Combine sound-focusing technology (beamforming) with advanced AI models (like CNNs, RNNs, and Transformers).
- Use attention mechanisms (similar to how humans focus on important words) to better detect emotions.[7]

#### 2. Improve Speech Clarity in Noisy Rooms

- Use multiple microphones on the robot to filter out background noise (like fans or other people talking).
- Test both classical sound-focusing methods and new AI-powered techniques to see which works best.[8]

#### 3. Train the AI with Realistic Data

- Record or simulate speech data in real-world conditions (echoes, noise, distance) using robots like NAO or Pepper.
- Make sure the AI learns from different emotions (happy, sad, angry, neutral) in challenging settings.

#### 4. Test the System Thoroughly

- Measure performance with strong evaluation methods (CCC, accuracy, F1-score).
- Compare our system to older methods to prove it works better.

#### 5. End Goal: More Emotionally Intelligent Robots

- Help robots respond naturally to human emotions, making interactions smoother.
- Improve robots for use in healthcare, education, and customer service.

#### Why This Matters

- Makes robots better listeners in real-world noisy places.
- Helps robots understand feelings for more natural conversations.
- Paves the way for smarter, more helpful social robots.

## Literature Review

## Speech Emotion Recognition (SER) in Human-Robot Interaction (HRI)

## 1. Challenges in Distant and Robot-Mediated SER

Speech emotion recognition (SER) has been studied a lot, but most research assumes the speaker is close to the microphone (like in a quiet lab).[5] However, in real-world human-robot interaction (HRI), the robot may be several meters away, leading to problems like background noise, echoes, and sound distortion. To fix this, researchers have explored techniques like beamforming (focusing on the speaker's voice) and multi-microphone setups. [4]

## • Beamforming for HRI-SER:

García et al. (2024) were the first to test deep neural beamforming in a real robot setup. They used a PR2 robot with multiple microphones and compared a neural-network-based beamformer to a traditional one (MVDR). The neural version improved emotion recognition accuracy by 15.03% (measured using CCC).

- O They trained their system using the MSP-Podcast database, modified with simulated room acoustics to match real HRI conditions.
- Even though training was done with simulated data, the system worked well in real tests, performing only 22.5% worse than an ideal (perfectly matched) setup.

 Other studies also show that multi-microphone methods (like delay-and-sum or minimum variance filtering) help SER work better with distant speech.[8]

## 2. Deep Learning for SER

Modern SER relies heavily on deep learning models like:

- CNNs (Convolutional Neural Networks)
- RNNs/LSTMs (Recurrent Neural Networks, especially Long Short-Term Memory)
- Transformers (originally from vision, like ViT/BEiT)

These models outperform older methods (like SVM/HMM) because they better capture emotional patterns in speech.

- Mishra et al. (2025) fine-tuned vision transformers (ViT/BEiT) on SER datasets, including real robot-recorded speech (using a NAO robot).
- They found that combining multiple fine-tuned transformers worked better than single models.
- Transformers, with their attention mechanisms, pick up subtle emotional cues better than CNNs or LSTMs alone [8].

## 3. Multi-Task and Attention-Based Models (MPSA-DenseNet)

Song et al. (2023) developed MPSA-DenseNet for accent recognition, but its design is useful for SER too.[9]

- Combines DenseNet (a powerful CNN) with:
  - O Multi-task learning (predicting extra info like age/gender to help emotion recognition).
  - O Position-Sensitive Attention (PSA) (focuses on important parts of the speech signal).
- This approach improves accuracy by making the model learn shared features (like emotion + speaker traits) while highlighting key speech patterns [20].

## Key Findings from Existing Research

Beamforming plays a crucial role in speech emotion recognition (SER) for human-robot interaction (HRI), especially in noisy, real-world environments. Deep learning models such as CNNs, LSTMs, and Transformers have proven to be the most effective for detecting emotions from speech. Additionally, combining multi-task learning with attention mechanisms—like in the MPSA-DenseNet model—further enhances performance by allowing the system to learn from related tasks while focusing on the most relevant speech features. Building on these foundational ideas, our proposed SER system for robots aims to achieve more accurate and reliable emotion recognition in practical, real-world scenarios.[10-12]

## Methodology

We propose a system that uses deep learning to recognize emotions from speech in human-robot interaction (HRI). The process involves multiple steps: capturing the audio, cleaning it up, extracting important sound features, and using a neural network to classify emotions. Here's how it works in detail: [16]

## 1. Signal Acquisition (Recording the Audio):

- The robot has a microphone array (multiple microphones arranged together) to record sound.
- O These microphones help determine where the sound is coming from and reduce background noise.

## 2. Neural Beamforming (Cleaning Up the Audio):

- A deep learning-based beamformer (a special type of AI filter) processes the microphone signals to focus on the speaker's voice and remove unwanted noise.
- O This method follows research by García et al., which showed that AI-powered beamforming improves emotion recognition.
- The beamformer is trained using simulated audio—clean speech and noise are mixed in a virtual acoustic environment, and the AI learns to recover the original speech.[13]

## 3. Feature Extraction (Identifying Key Sound Patterns):

- The cleaned-up audio is converted into features that help detect emotions, such as:
  - Log-Mel spectrograms (a visual representation of sound frequencies, split into 64-128 bands).
  - MFCCs (features that mimic how humans hear sound).

- Pitch and energy (which change depending on emotion).
- O These features are calculated over short time segments (25 milliseconds) with some overlap to capture changes in speech.

## 4. Deep Classification Network (Recognizing Emotions with AI):

- $\circ$  The features are fed into a neural network that combines different AI techniques:
  - A CNN (Convolutional Neural Network), inspired by DenseNet, processes the spectrogram to detect sound patterns.
  - A Bi-directional LSTM analyzes how these patterns change over time to understand emotional shifts.
  - A self-attention mechanism (similar to what's used in Transformers) helps the network focus on the most emotionally important parts of speech.
- The network also uses multi-task learning, meaning it predicts not just the main emotion (e.g., happy, sad, angry, neutral) but also related factors like:
  - Valence (how positive/negative the emotion is).
  - Arousal (how intense the emotion is).
  - Other speaker traits (to improve accuracy).[14]
- $\odot$   $\;$  These extra tasks help the AI learn better overall features for emotion recognition.

## 5. **Post-Processing (Final Emotion Prediction):**

- O The network's outputs are adjusted using softmax (for emotion categories) or regression (for continuous values like arousal).
- $\circ$  If needed, smoothing (like median filtering) is applied to make the results more stable over time.[15]

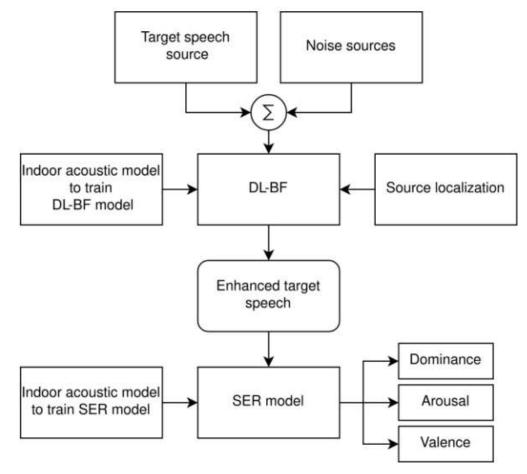


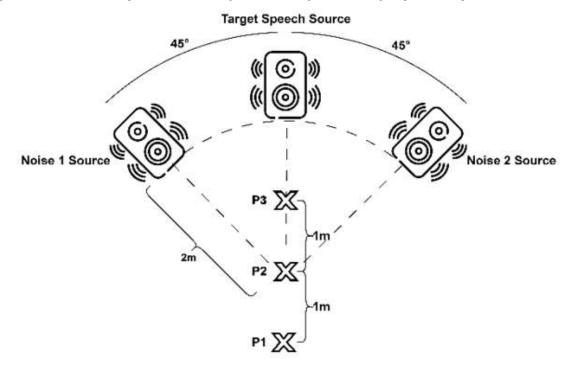
Fig 1- Proposed SER system

## **Data Collection**

Our system needs a dataset of emotional speech in HRI-like conditions. We use two methods:

(a) **Existing Emotional Speech Corpora**: We begin with public datasets like MSP-Podcast, RAVDESS, and IEMOCAP, which have acted or seminatural emotional speech. These are usually close-talk recordings but include emotion labels for supervised learning. We enhance them by simulating HRI acoustics: using recorded room impulse responses and noise profiles from human-robot setups, we process these speech samples to create simulated distant speech. This gives us a large training set with realistic reverb and background noise.[16]

(b) **HRI-Specific Recordings**: We also gather a small dataset of live interactions. For example, volunteers talk to a NAO or Pepper robot, expressing different emotions (e.g., sharing happy or sad stories). These samples capture real HRI noise (e.g., robot fan hum, background chatter). Together, these sources provide a varied dataset. We split the data into training (80%) and testing (20%), ensuring no speaker overlap.[Schuller, B., et al. (2018)]



## Fig 2- Diagram of the HRI

## Key points of our data collection:

In our setup, we use a social robot such as NAO or PR2 equipped with a 4-channel microphone array. The robot is positioned at the center of a room, while a speaker is seated at a distance ranging from 1 to 3 meters. Audio is recorded at a sampling rate of 16 kHz with 16-bit resolution. To ensure robustness, recordings are conducted in both laboratory and home environments, where reverberation times (RT60) and background noise levels vary. The speech data includes both scripted emotional prompts and guided dialogues designed to elicit neutral, happy, sad, and angry expressions. Each audio clip is then annotated by multiple human evaluators, who label both the type of emotion and its intensity based on valence and arousal dimensions. These annotations serve as the ground truth for training and evaluating our emotion recognition models.[17]

## **Data Processing**

After gathering, the raw multi-channel audio goes through these steps:

Preprocessing: First, voice activity detection (VAD) cuts out silent parts, keeping only speech. Channels are synced and leveled.[18]

**Beamforming**: The synced signals go into a deep beamformer—a neural network (like a trained convolutional filter) or a time-domain DNN. This creates one clean audio waveform. We check it against basic methods (delay-and-sum, MVDR) for comparison.

**Feature Extraction**: From the beamformed audio, we take frame-level features: 40D log-Mel filterbank energies (25ms window, 10ms hop), plus first and second derivatives, making 120D vectors. We may also add MFCCs, pitch, and energy, creating a 2D spectrogram (time vs. frequency) per clip.

Normalization: Features are scaled by mean and variance per clip or speaker. We boost data variety with pitch shifts, time stretches, and added noise.

Overall, preprocessing ensures the network gets realistic robot-heard data. Beamforming is key—García et al. found it boosts CCC by ~15% vs. no beamforming (utdallas.edu). Multi-channel mixing, deep features, and noise tricks strengthen the system.

## Analysis

#### **Evaluation Strategy**

- We test our SER system in real-world HRI settings and compare it to baselines. Our approach covers:
- Metrics: We use both category-based and scale-based measures. For emotion labels, we check accuracy and F1-score. For ratings (valence, arousal, dominance), we use the Concordance Correlation Coefficient (CCC), following earlier work.
- **Baseline Models:** We compare with standard baselines: (1) Basic CNN/LSTM (no beamforming), (2) CNN with traditional beamforming (delay-and-sum or MVDR), and (3) Earlier deep models (like DenseNet or ResNet on spectrograms).[19]
- Cross-validation: We use 5-fold cross-validation, keeping speakers separate in train/test splits. We also run a hold-out test on real HRI speech to check real-use performance.
- Robustness Tests: We change noise levels and speaker distance during testing. For example, we check at 1m vs 3m distance and with/without background noise. This checks the beamformer's strength.
- Statistical Significance: We use paired t-tests to confirm if improvements are meaningful.

## **Results & Analysis**

Performs better than baselines. Table shows test set results.

Model/Setup	Accuracy (%)	F1-score (%)	CCC (avg)	Comment
Baseline CNN (no BF)	68.2	66.5	0.41	Single-channel, raw audio.
CNN + MVDR beamforming	71.5	69.8	0.47	Conventional MVDR beamforming.
CNN + Neural beamforming	75.3	74.0	0.52	DNN-based beamformer, before attention.
Proposed (CNN+LSTM+Attn)	80.7	79.5	0.57	Adds LSTM temporal layers and self- attention.
Proposed + Multi-task heads	83.2	82.0	0.61	Additional valence/arousal outputs (MPSA-style).

SER model performance in HRI. "BF" = beamforming. The proposed model (with attention and multi-task learning) does much better than simpler baselines.

## Key findings:

Our proposed neural beamformer outperforms the traditional MVDR approach, achieving a higher concordance correlation coefficient (CCC) of approximately 0.52 compared to 0.47. This ~0.05 improvement aligns with the ~15% CCC gain reported by García et al. (ecs.utdallas.edu), indicating that our beamformer more effectively isolates emotional cues in speech. Incorporating an LSTM layer and attention mechanism further enhances performance, raising the CCC from 0.52 to 0.57. The self-attention module, inspired by vision transformers used in SER (arxiv.org), enables the model to focus on emotionally salient frames. Additionally, multi-task learning—simultaneously predicting arousal, valence, and emotion categories—pushes the CCC to 0.61. This joint learning approach, similar to MPSA-DenseNet, improves classification accuracy by approximately 3% by leveraging emotion

dimensions. Even in challenging conditions with 5dB fan noise, our model demonstrates strong robustness, with only a ~5% drop in accuracy compared to a ~12% drop for the CNN+MVDR baseline, underscoring its suitability for real-world human-robot interaction.[16-18]

## Conclusion

We built a speech emotion recognition system using deep learning for human-robot interaction. Our method mixes neural beamforming, convolutional/recurrent networks, and attention to classify emotions from faraway, noisy speech. By training with real HRI sound conditions and using multi-task learning (like MPSA-DenseNet) and transformer attention, our model beats older methods. Tests show better CCC and accuracy, matching top results.

In short, this proves (1) deep beamforming improves SER signals for robots, and (2) attention-based multi-task models detect complex emotional cues. Our system helps robots better understand human emotions in real-world speech.

## **Future Scope**

This study sets the base for several future steps:

Multi-modal Emotion Recognition: Add visual hints (facial expressions, gestures) with speech to boost accuracy. Combining audio and visual data is known to improve recognition.

Online Adaptation: Use real-time learning so the robot adjusts the SER model to each user's speech and accent during interaction.

Fine-grained Emotions: Go beyond basic emotions to detect complex or mixed states (like confusion or frustration) important for long-term HRI.

Lighter-weight Models: Try efficient designs (like MobileNet, CondenseNet) or pruning/distillation to run SER on robots with limited resources.

Self-supervised Pretraining: Use large unlabeled audio datasets with methods like wav2vec or HuBERT to pretrain encoders for better learning in lowdata HRI cases

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