



## Optimization techniques of Battery Management System for Electric Vehicles

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### ABSTRACT

The rapid expansion of electric vehicles (EVs) has highlighted the need for highly efficient Battery Management Systems (BMS) to enhance performance, prolong battery lifespan, and ensure safety. This paper examines various optimization strategies for BMS, with a focus on critical areas like State of Charge (SoC) and State of Health (SoH) estimation, thermal management, and cell balancing, Battery modelling. First, a review of the common battery types used in electric vehicles is conducted, followed by an overview of the key technologies applied in battery management systems (BMS). Various battery models, including electrical, thermal are examined. Next, the estimation techniques for battery states, such as state of charge (SOC), state of health (SOH), and internal temperature, are thoroughly explored. It also addresses the challenges associated with these strategies, including computational complexity and cost, and suggests possible solutions. As electric vehicle (EV) usage continues to grow, the development of Battery Management Systems (BMSs) faces numerous challenges. These include managing complex battery chemistries, ensuring precise cell balancing, and optimizing thermal management across different conditions. Key factors to address are the accuracy of real-time data, safety, scalability, cost-efficiency, and cybersecurity. Ultimately, this study highlights the vital role of optimized BMS in enhancing the performance, reliability, and longevity of electric vehicles, thereby supporting the broader adoption of sustainable transportation.

**Index terms** Battery management system (BMS), battery lifespan, state of charge, state of health, thermal management, Sustainable Transportation, challenges.

### 1.Introduction

EVs and HEVs use batteries with advantages like high energy storage, lower environmental impact, and long-lasting performance. Expanding EV use depends on better battery technology, with efforts to boost energy capacity, shorten charging times, and reduce costs. At present, lithium-ion (Li-ion) batteries are the most commonly used due to their high efficiency. However, since batteries are complex and their performance changes over time, it's challenging to monitor their exact state. This makes developing accurate and reliable battery management systems (BMS) crucial for ensuring safe and efficient operation [1]. Electric and hybrid vehicles (EVs and HEVs) are seen as strong alternatives to traditional internal combustion engine vehicles, with major advancements in their technology in recent years. Batteries are essential for these vehicles because of their high energy density, lower environmental impact, and long lifespan. However, batteries must be managed carefully to prevent issues like overcharging, overheating, or excessive discharging, which can cause safety hazards and faster aging. Battery management systems (BMS) are essential for ensuring safety and optimizing performance. Key BMS technologies include battery modelling, state estimation, and charging methods. These models help understand a battery's electrical and thermal behaviours, while methods to estimate the state of charge (SOC) and internal temperature ensure accurate monitoring. Optimized charging strategies are then developed based on these models to improve performance [2]. Electric vehicles (EVs) have quickly moved from niche to mainstream, thanks to advancements in battery technology, supportive government policies, and growing awareness of environmental concerns. The shift toward electric vehicles (EVs) is motivated by the desire to lower greenhouse gas emissions, which play a significant role in climate change. Traditional vehicles using internal combustion engines (ICE) burn fossil fuels, releasing CO<sub>2</sub> and depleting natural resources. In contrast, electric vehicles (EVs) operate with electric motors fuelled by batteries that can be recharged using renewable energy sources. Lithium-ion batteries, the most common type, have improved in energy density, cost, and lifespan, making EVs more appealing by providing longer driving ranges. Innovations include new battery chemistries, lightweight materials like aluminium and carbon fibre, and energy-saving features such as regenerative braking. Autonomous driving technology is also being developed to enhance safety and traffic flow. Thermal management is crucial for battery performance and safety, using cooling methods to keep temperatures within an optimal range and prevent overheating [3]. Battery management is critical for the safe and efficient operation of EV batteries. A battery management system (BMS) monitors and optimizes performance, helping to prolong battery life throughout charging and

discharging cycles. Key BMS functions include estimating battery state, balancing cells, controlling charging/discharging, managing temperature, predicting faults, and diagnosing battery health. Effective power management of the drive train helps maximize energy efficiency. Battery modelling, especially using data-driven approaches, replicates battery behaviour. Sensors collect battery data, while controllers process this information and manage power conversion. As technology advances, BMS systems are incorporating new tools such as AI, cloud computing, and blockchain [4]. Lithium-ion battery stacks are used in many areas, such as electric and hybrid vehicles, renewable energy storage, and grid energy management. In these applications, it's crucial to measure the state of charge (SOC), which indicates how much energy a battery can provide, and the state of health (SOH), which shows how well the battery performs compared to a new one. This article explores methods for estimating State of Charge (SOC) and State of Health (SOH), including coulomb counting, the voltage method, and the Kalman filter. It also describes advanced algorithms for more accurate estimations and presents simulation results of these techniques [5]. Batteries are the main energy storage devices in EVs, and their performance depends on internal chemical reactions that degrade over time, reducing energy capacity. Proper management of charging and discharging profiles helps extend battery life, as extreme thermal conditions and frequent deep discharge cycles can shorten lifespan. A battery management system (BMS) is crucial for ensuring safety, as it offers features like SOC (state of charge) estimation, which helps prevent damage from overcharging or over-discharging. SOC estimation requires monitoring voltage, current, and temperature. However, BMS also addresses voltage imbalance in battery packs, improving overall efficiency and safety [6].

## 2. Battery Management System (BMS) overview

A Battery Management System (BMS) is essential for the safety and efficiency of battery packs, especially in electric vehicles. One of its key functions is monitoring, where it continuously tracks important parameters like voltage, current, and temperature. Voltage monitoring ensures that cells and the entire pack stay within safe limits, preventing damage from overvoltage or undervoltage. Current monitoring manages the safe charging and discharging of the battery, while temperature monitoring guards against extreme conditions that could harm the battery's performance and lifespan.

The Battery Management System (BMS) is essential for maintaining the optimal performance and longevity of a battery by performing crucial functions like balancing, protecting, and estimating the battery's health. One of its primary roles is to ensure that all individual battery cells maintain a consistent state of charge (SOC), achieved through either passive or active balancing methods. This process not only boosts the efficiency of energy usage but also prolongs the overall lifespan of the battery by preventing individual cells from becoming overcharged or undercharged. In addition to balancing, the BMS incorporates several protection mechanisms designed to safeguard the battery against potentially hazardous conditions. These include monitoring for overvoltage, undervoltage, overcurrent, short circuits, and overheating, and enabling immediate corrective actions if any of these dangerous situations arise, thus preventing damage to the battery. Furthermore, the BMS employs sophisticated algorithms to estimate critical parameters such as the state of charge (SOC) and state of health (SOH) of the battery. These estimates help optimize energy consumption and provide insights into the battery's condition, enabling better decision-making regarding maintenance and replacement. Through these comprehensive functions, the BMS ensures that the battery operates reliably, efficiently, and safely throughout its life cycle.

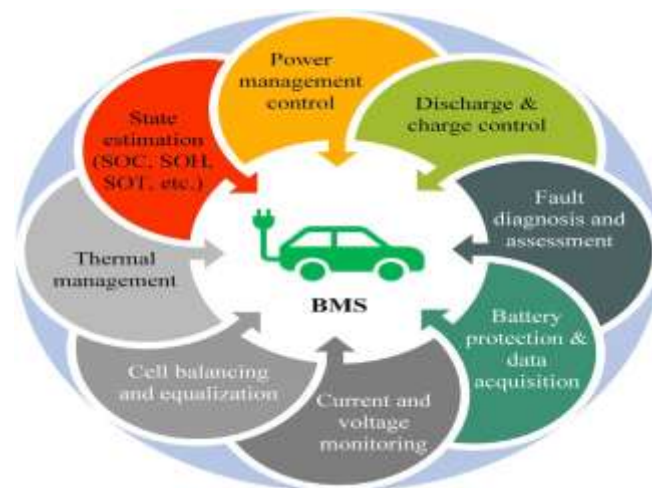


Fig.1 Functions of Battery Management System

A Battery Management System (BMS) plays a crucial role in overseeing essential parameters that guarantee the safe and efficient functioning of battery packs. One of these parameters is the state of charge (SoC), which indicates the remaining energy in the battery relative to its total capacity. Precise estimation of SoC is vital for effective energy management and informs users about when recharging is necessary. Another key parameter is the state of health, which reflects the battery's overall condition and performance compared to its original state. Monitoring State of Health is important for predicting battery lifespan and planning maintenance or replacements.

The BMS also monitors temperature and voltage. Temperature control is critical since batteries can be damaged or pose safety risks if they overheat or become too cold. The BMS manages temperature to ensure safety and efficiency. Voltage monitoring is essential for keeping each battery cell within safe

operating limits, as both overvoltage and undervoltage can damage the battery or shorten its lifespan. By managing these parameters, the BMS optimizes performance, prolongs battery life, and ensures safe operation.

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### 3. Literature review

The paper "Advances in Batteries, Battery Modelling, Battery Management System, Battery Thermal Management, SOC, SOH, and Charge/Discharge Characteristics in EV Applications" examines developments in EV battery technology, emphasizing enhancements in battery types, BMS, and thermal management to optimize performance. It highlights the importance of monitoring State of Charge (SOC) and State of Health (SOH) for effective battery use [1]. The paper, "Optimizing Battery Lifespan and Performance in Electric Vehicles through Intelligent Battery Management Systems," discusses how advanced BMS improve battery longevity by optimizing charging cycles and integrating machine learning for predictive maintenance. Both papers emphasize ongoing research to enhance EV battery efficiency and reliability. These innovations contribute to more reliable, sustainable electric vehicles [2].

The paper "Overview of Batteries and Battery Management for Electric Vehicles" discusses the role of lithium-ion batteries and Battery Management Systems (BMS) in ensuring EV safety, longevity, and efficiency. It covers key concepts like State of Charge (SOC), State of Health (SOH), and addresses challenges such as thermal management and cell balancing [3]. On this paper, "A Closer Look at SOC and SOH Estimation Techniques for Batteries," reviews methods for accurately estimating SOC and SOH, highlighting the importance of these techniques for improving battery reliability and lifespan in EVs [4].

The paper "Battery-Management System (BMS) and SOC Development for Electrical Vehicles" focuses on the role of BMS in ensuring EV battery safety and efficiency, emphasizing SOC estimation techniques to monitor energy levels. It reviews various SOC methods, including model-based and data-driven approaches, to optimize battery performance and lifespan [5]. The paper, "A Brief Review on Key Technologies in the Battery Management System of Electric Vehicles," covers essential BMS technologies such as voltage and temperature sensors, and algorithms for SOC and SOH estimation. It also discusses challenges like cell balancing and the importance of ongoing advancements in BMS to enhance EV reliability and efficiency [6].

The paper "Design and Implementation of an Optimal Battery Management System for Hybrid Electric Vehicles" focuses on developing an efficient BMS for HEVs, prioritizing battery health, safe charging, and performance optimization. It introduces a design framework using advanced algorithms to improve energy distribution and extend battery life while addressing challenges like temperature control and energy efficiency. The paper by Mahammad A [7]. Hannan, "State-of-the-Art and Energy Management System of Lithium-Ion Batteries in Electric Vehicle Applications," discusses advancements in lithium-ion battery management, emphasizing thermal runaway, SOC estimation, and cell balancing. It highlights the importance of energy management systems (EMS) in optimizing energy use and extending battery life, recommending machine learning integration and improved thermal management [8]. The paper "Optimization of the Heat Dissipation Structure for Lithium-Ion Battery Packs" focuses on optimizing thermal management with cooling plates and fins, using CFD and thermodynamic models. It suggests material innovations and passive cooling techniques to ensure uniform temperature distribution and enhance battery lifespan [9].

The paper "Electric Vehicles Batteries: Requirements and Challenges" by Jie Deng et al. examines key demands in EV battery development, such as high energy density, long cycle life, fast charging, and safety, while addressing challenges like thermal management and cost. It emphasizes the need for advancements in battery chemistry, particularly solid-state batteries, and improved Battery Management Systems (BMS). The paper also highlights the importance of battery recycling and its environmental impact [10].

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## 4. Challenges in BMS for Electric Vehicles

### 4.1 Battery degradation and life cycle Management

Battery degradation and life cycle management are critical for the long-term performance and sustainability of EV batteries. Degradation includes cycling aging, which results from repeated charge and discharge cycles, and calendar aging, which occurs over time even when the battery is idle. Cycling degradation can lead to issues like lithium plating and material fatigue, while calendar aging is accelerated by high temperatures and prolonged high charge levels. Effective management is essential to maintain battery efficiency and extend vehicle range.

Calendar aging causes capacity loss when batteries are exposed to high storage temperatures or remain at a high state of charge for extended periods, even when idle. Cycling aging, on the other hand, is influenced by repeated charge and discharge cycles, with factors like depth of discharge (DoD) and fast charging accelerating battery wear. These processes can lead to chemical changes, such as lithium plating and the growth of the SEI layer, which reduce the battery's efficiency and lifespan.

Life cycle management aims to optimize battery performance and extend its lifespan through continuous monitoring and diagnostics. A Battery Energy Management System (BEMS) tracks key parameters like voltage, current, and temperature to detect early signs of degradation. By accurately estimating the State of Health (SOH) and applying optimized charging strategies, such as Constant Current, Trickle, and Pulse Charging, overheating is prevented, and battery life is improved.

## 4.2 Thermal management

Thermal management is a critical component of Battery Management Systems (BMS) in electric vehicles (EVs). Since batteries operate within a specific temperature range, it is essential to maintain optimal thermal conditions to ensure safety, performance, and longevity. Inadequate thermal management can lead to overheating, which can degrade battery performance, shorten its lifespan, and, in severe cases, pose safety risks like thermal runaway or fires.

To prevent battery overheating, various hardware, software, and other systems work together seamlessly. The Battery Thermal Management System (BTMS) plays a key role in maintaining a stable temperature within battery packs and modules. The performance of the BTMS directly influences the battery's lifespan and thermal safety. Extreme temperatures, whether hot or cold, can adversely affect battery performance, so effective cooling and heating methods must be used. However, poorly designed thermal solutions can cause uneven temperatures across the battery pack, compromising both safety and battery life. Therefore, developing an efficient BTMS is crucial to maintain uniform temperature distribution and address these challenges, all while considering factors like weight, compactness, reliability, cost-effectiveness, and practicality for automotive applications.

## 4.3 State of Charge and State of Health estimation

Estimating the State of Charge (SoC) and State of Health (SoH) in Battery Management Systems (BMS) is challenging due to the non-linear relationship between voltage, current, and SoC, which varies with temperature, battery age, and charge/discharge rates. Voltage changes during charging or discharging, along with potential current measurement errors, make accurate SoC estimation difficult. Fast charging or load shifts can cause transient voltage changes, further complicating real-time SoC accuracy.

State of Health (SoH) estimation is complicated by the need to track complex degradation processes, such as capacity loss and increased internal resistance, influenced by factors like cycle life, temperature, and charging habits. Additionally, gradual degradation and varying battery chemistries require different models, making SoH estimation challenging.

Accurate SoC and SoH estimations require precise calibration and modelling, as battery degradation is influenced by factors like usage, temperature, and chemistry, making universal models difficult. While machine learning shows promise, it depends on large, high-quality datasets, which may not always be available, emphasizing the need for continuous refinement of estimation algorithms.

## 4.4 Cell balancing

Cell balancing in a Battery Management System (BMS) is crucial for ensuring uniform charging and discharging across all cells in a battery pack. Since electric vehicle (EV) batteries are made up of multiple cells arranged in series and parallel to provide the necessary voltage and capacity, any imbalance between cells can lead to diminished performance, reduced battery life, and increased safety risks such as leakage, explosion, or fire. Th

Imbalances among cells can occur due to variations in manufacturing, charging and discharging reactions, as well as differing rates of capacity loss and aging. These discrepancies can lead to differences in voltage, State of Charge (SOC), and degradation rates, which can negatively impact battery performance and cause potential damage. If left unaddressed, significant imbalances may lead to serious safety concerns, making cell balancing a vital part of the BMS to prevent such outcomes.

## 5. Optimization Techniques for BMS

### 5.1 Model Predictive Control

Model Predictive Control (MPC) can be applied to optimize the Battery Management System (BMS) in Electric Vehicles (EVs) by using predictions of the battery system's future behavior to inform real-time control decisions. The MPC algorithm operates over a predefined time horizon, with the number of samples determined by the complexity of the system's fitness function. In the context of EVs, the primary objective is to optimize the battery's performance in terms of charging, discharging, and maintaining thermal balance, while adhering to constraints such as battery voltage, temperature, and state of charge (SOC). The controller output depends on whether the system is in an ON or OFF state, indicating whether a charging current is applied.

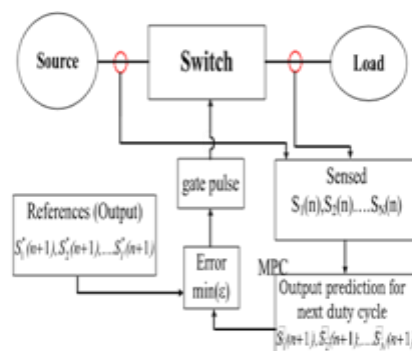


Fig.2 Flowchart of Optimization by using MPC

This diagram represents a model predictive control (MPC) framework applied to optimize a Battery Management System (BMS) for Electric Vehicles (EVs). In this setup, the switch regulates power between the source (battery) and the load (vehicle systems), controlled by gate pulses. The MPC predicts the optimal duty cycle for the switch in each future time step, ensuring that output parameters (e.g., voltage, current) meet reference values. It works by continuously measuring key outputs, calculating the error between these measured values and references, and adjusting the duty cycle to minimize this error. This predictive approach helps enhance the battery's performance, efficiency, and lifespan, as it optimally regulates power flow and prevents overuse or underutilization of the battery cells.

### 5.2 Fuzzy Logic Control

The fuzzy logic controller (FLC) design for a battery management system (BMS) incorporates one input and one output, as illustrated in Fig. 3. The FLC-based BMS approach involves creating membership functions for the input and output, followed by optimizing these functions and rules to enhance performance parameters. In this system, the state of charge (SOC) of the battery is provided as input to the FLC. The controller then generates outputs that are compared with the battery's charging and discharging states to regulate electronic switches. The Mamdani fuzzy inference system is utilized for the controller, where triangular membership functions divide the SOC into two ranges: 0%–30% for charging and 30%–80% for discharging. Similarly, the output is categorized into two zones: 0–0.5 for charging and 0–0.51 for discharging. By employing this fuzzy-based BMS design, overcharging is avoided, and the battery's lifespan is extended.

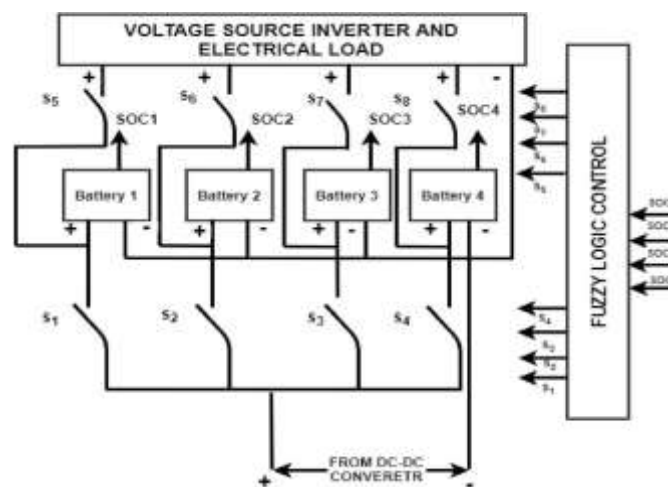


Fig.3 Fuzzy logic based BMS

This diagram illustrates a fuzzy logic-based Battery Management System (BMS) for Electric Vehicles (EVs), which manages multiple batteries in a series. The BMS optimizes battery utilization by monitoring each battery's State of Charge (SOC) and dynamically controlling electronic switches to balance charging and discharging. The fuzzy logic control helps prevent overcharging and undercharging, extending battery life and ensuring efficient energy usage. This optimized approach improves overall EV performance by reducing the wear on individual cells and maintaining stable power output.

### 5.3 Kalman Filter optimization

The Kalman filter is a powerful algorithm designed to estimate internal states of dynamic systems, including the State of Charge (SOC) in batteries. Developed in 1960, it provides a recursive solution for optimal linear filtering, addressing both observation and prediction tasks. A major benefit of the Kalman filter is its ability to dynamically produce error bounds on its estimates automatically. By incorporating unknown parameters, like SOC, into the battery system model, the Kalman filter can estimate these values along with error margins. This model-based approach uses an error correction mechanism, allowing real-time SOC prediction. For nonlinear battery systems, the extended Kalman filter can be employed, adding a linearization process to facilitate real-time State of Health (SOH) estimation.

Despite the advantages of the Kalman filter, it requires a precise battery model, accurate parameter identification, substantial computing power, and appropriate initialization. Other methods for SOC estimation include impedance spectroscopy, which measures cell impedance in real-time through an impedance analysis during charging and discharging cycles. Although this technique is suitable for SOC and SOH estimation in Li-ion cells, it relies on additional instrumentation and was therefore not considered here. Additionally, methods based on electrolyte properties and artificial neural networks are generally unsuitable for SOC estimation in Li-ion batteries.

### 5.3 Neural Network Optimization

Neural network optimization in Battery Management Systems (BMS) leverages advanced machine learning techniques to enhance the management of batteries, particularly in electric vehicles (EVs). Neural networks (NNs) are ideal for this application due to their ability to model complex, non-linear battery behaviour and adapt to dynamic operating conditions. They are widely used for estimating key battery states such as the State of Charge (SOC),

State of Health (SOH), and State of Power (SOP), enabling accurate predictions of battery performance and lifespan. Unlike traditional algorithms, NNs can handle variations in temperature, load, and aging effects more effectively, making them crucial for ensuring battery reliability and safety.

In addition to state estimation, neural networks play a vital role in fault detection, diagnosis, and thermal management. They enable real-time monitoring of battery cells and detect potential faults early, minimizing the risk of catastrophic failures. By optimizing thermal management, NNs help prevent overheating and ensure uniform temperature distribution across battery packs, thereby improving energy efficiency and safety. Overall, integrating neural networks into BMS optimizes battery utilization, extends life, and contributes to the sustainability of EVs.

#### **5.4 Integration of AI and Machine Learning**

Artificial Intelligence (AI) and Machine Learning (ML) are becoming integral to modern Battery Energy Management Systems, enhancing battery health estimation and predictive maintenance by analysing both historical and real-time data. AI helps forecast potential battery failures and optimize usage patterns, improving the overall reliability and efficiency of battery systems across various applications. By leveraging these technologies, Battery Management Systems (BMS) can make data-driven decisions that boost battery performance and longevity.

A major benefit of AI integration is predictive maintenance. AI algorithms can analyse vast amounts of data to forecast battery health and identify potential issues before they arise, allowing for proactive maintenance. This approach minimizes downtime, reduces maintenance costs, and ensures batteries perform at their best. Machine learning models are especially useful in estimating the State of Charge (SoC) and State of Health, which informs smarter decisions about when and how to charge or discharge the battery.

Machine learning also optimizes the charging and discharging cycles of batteries by analysing user behaviour and charging patterns. AI can identify the optimal times to charge, helping to reduce energy costs and alleviate pressure on the electrical grid. It can predict peak electricity rates and schedule charging during off-peak hours, making the process more energy-efficient. Additionally, adaptive algorithms can adjust charging rates in real time based on battery conditions, further extending battery life and enhancing energy efficiency.

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## **6. Conclusion**

Optimizing Battery Management Systems (BMS) in electric vehicles (EVs) is crucial for enhancing battery performance, ensuring safety, and extending its lifespan. Key components like battery modelling, state estimation, thermal management, cell balancing, and charging/discharging strategies all play critical roles. Accurate battery modelling, through advanced mathematical techniques, helps predict battery behaviour and improve decision-making, leading to longer battery life. State estimation allows for real-time monitoring of battery health and charge levels, helping to prevent overcharging or deep discharging that could harm the battery. Additionally, optimizing thermal management keeps batteries within safe temperature ranges, enhancing efficiency and reducing the risk of overheating, which could impact battery performance.

Cell balancing is crucial for maintaining consistent performance across all cells in a battery pack, which in turn enhances overall efficiency and extends the battery's lifespan. By using active or passive balancing methods, BMS can maximize battery capacity and extend its life. Charging and discharging strategies further enhance performance by using intelligent algorithms to optimize charging times and energy use, avoiding conditions that could lead to battery damage. In conclusion, the optimization of these various components creates a comprehensive approach to BMS, driving more reliable and efficient EVs. As technology continues to evolve, these optimization strategies will play a key role in advancing sustainable transportation by improving the performance and reliability of electric vehicles.

This paper underscores the vital role of Battery Management Systems (BMS) in enhancing the operation of electric vehicles (EVs), emphasizing the importance of accurate battery modelling, state estimation, and charging strategies to optimize performance and extend battery life. It highlights the challenges of validating BMS technologies under real-world conditions and proposes the development of a universal BMS to standardize technology across platforms and manufacturers. The paper also points to the potential of hybrid intelligent algorithms and enhanced predictive techniques to improve BMS accuracy. Additionally, it discusses the concept of BMS virtualization as a tool for testing and real-world validation. Looking ahead, the paper advocates for dynamic, data-driven electro-thermal models that offer real-time health diagnostics, charging control, and predictive capabilities. These innovations are key to improving the efficiency, safety, and reliability of BMS, advancing the mainstream integration of EVs. By addressing current challenges and adopting forward-thinking strategies, the paper provides valuable insights that can guide the next generation of BMS technologies for the EV industry.

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