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## Handwritten Recognition with Neural Network

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### ABSTRACT

The paper will describe the best approach to get more than 90% accuracy in the field of Handwritten Recognition (HCR). There has been plenty of research done in the field of HCR but still, it is an open problem as we are still lacking in getting the best accuracy. In this paper, the offline handwritten recognition will be done using a Convolutional neural network and TensorFlow. A method called Soft Max Regression is used for assigning the probabilities to handwritten characters being one of the several characters as it gives the values between 0 and 1 summing up to 1. The purpose is to develop the software with a very high accuracy rate and with minimal time and space complexity and also optimal.

**Keywords:** Handwriting, Recognition, Neural Network

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### Introduction

Understanding the handwritten characters or typed documents is simple to human beings as we have the ability to learn. The same ability can be induced to the Machines also by the use of Machine Learning and Artificial Intelligence. The field that deals with this problem are called the OCR or also known as Optical Character Recognition. It's the area of study in various fields such as recognizing patterns, also Image vision, and also AI. This is the system for changing electronic and image text into digital characters to be read by the machines. The time used in entering the data and also the storage space required by the documents can be highly reduced by the use of OCR in other words, it can be retrieved fast. By using the OCR in the banking field, legal scenarios, etc. many important and sensitive documents can be processed faster without human intervention. OCR in advance can be inferred in two ways based on the type of the text and document acquisition (Figure 1). Further, if we take into consideration the text type, then OCR is further of two types, HCR (Handwritten Character Recognition) which is intelligent recognition of the handwritten text, and PCR (Printed Character Recognition). We need a high recognition ability due to the varying handwriting of humans. Many times the writing style of the same individual is different at times. Further OCR is characterized by two forms Offline and Online recognition systems based on acquiring the documents. Offline System deals with recognizing the pre-written document acquired through various input methods. But in the Online recognizing system, the writing is recognized the moment it is written. The device used for the online system is an Electric pen which is used for writing the letters or words on the device called a digitizer and on the basis of the pen movement the input is recorded.

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### Related Works

Each person has a unique writing style. Written characters are varying with size, width, and thickness. The purpose of this project is to convert handwritten words into readable standard words.

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### Handwriting Recognition

Pattern recognition is extremely difficult to automate. Animals recognize various objects and make sense out of a large amount of visual information, apparently requiring very little effort. In order to simulate animal recognition capabilities, physical limitations must allow the system to be as realistic as possible. This necessitates the study and simulation of Artificial Neural networks. A Neural Network consists of nodes each performing simple computations and each connected with a signal from one node to another denoted by a number called "connection strength and weight" which indicates how much each node contributes

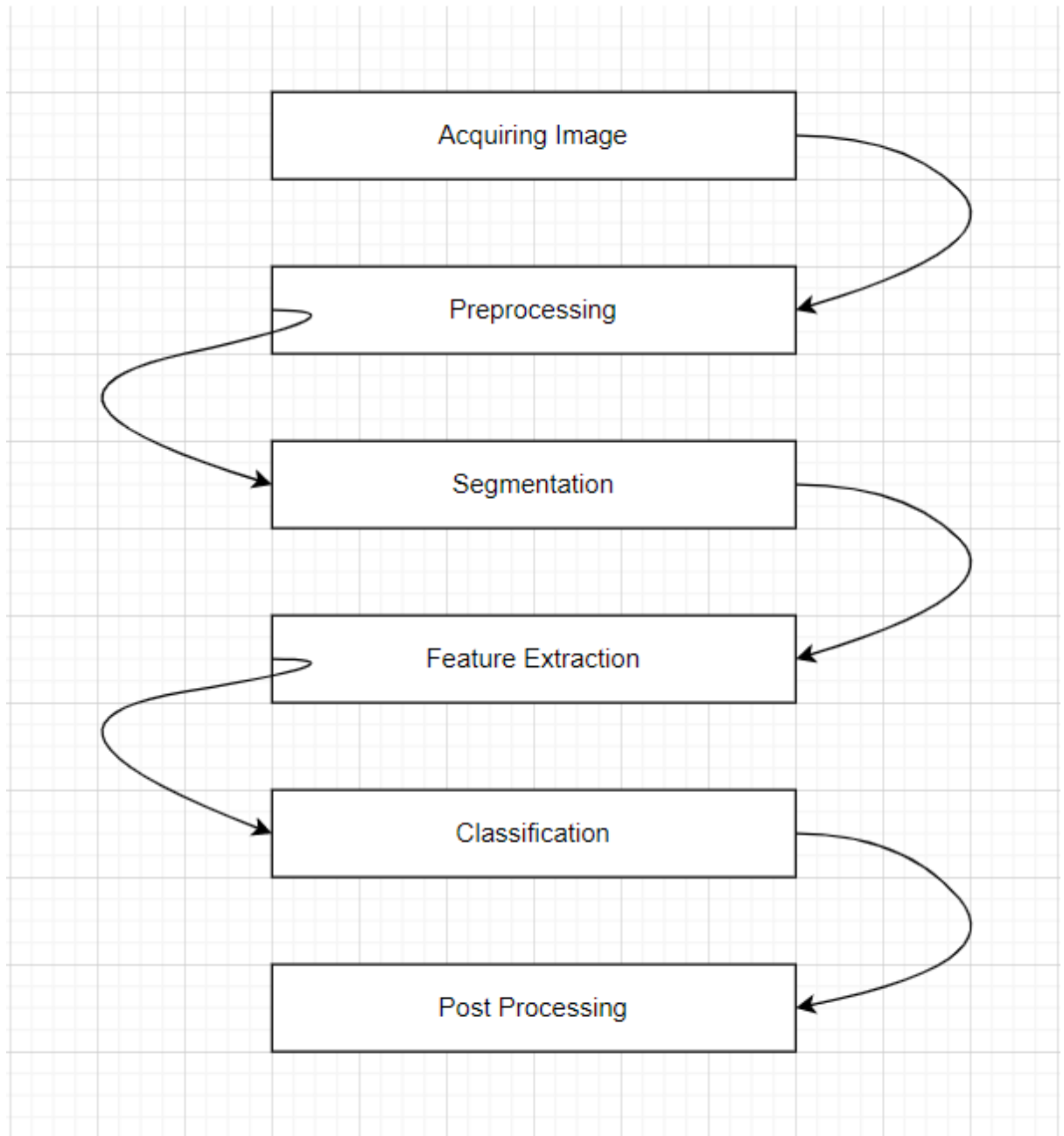


Figure 1: Proposed System

### ***Acquiring Image***

Digitized/Digital Image is initially taken as input. The most common of these devices is the electronic tablet or digitizer. These devices use a pen that is digital in nature. Input images for handwritten characters can also be taken by using other methods such as scanners, photographs, or by directly writing on the computer by using a stylus.

### ***Pre-processing***

Pre-processing is the basic phase of character recognition and it's crucial for a good recognition rate. The main objective of pre-processing steps is to normalize strokes and remove variations that would otherwise complicate recognition and reduce the recognition rate. These variations or distortions include the irregular size of text, missing points during pen movement collections, jitter present in the text, left or right bend in handwriting, and uneven distances of points from neighbouring positions. Pre-processing includes five common steps, namely, size normalization and centering, interpolating missing points, smoothing, slant correction, and resampling of points.

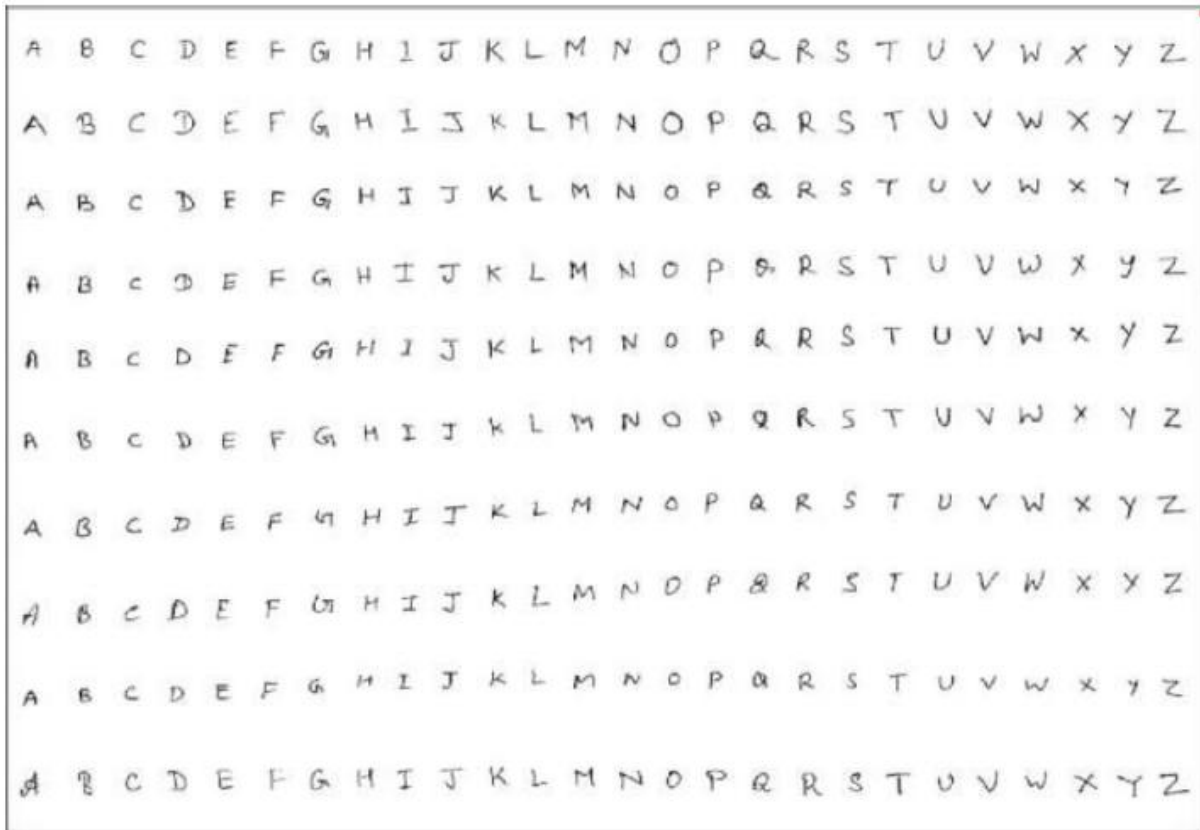


Figure 2: Character Set Of Handwritten Character

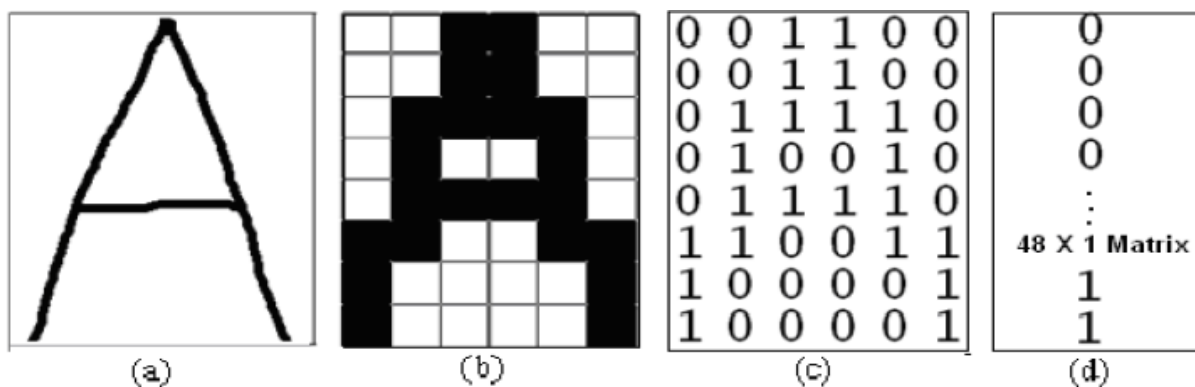


Figure 3. Digitization Process

### Segmentation

In the segmentation stage, an image is decomposed into sub-images of individual characters. Segmentation includes:

1. line segmentation which is the separation of the line from paragraph,
2. Word segmentation which is the separation of words from the line.
3. Character segmentation which is the separation of character from words.

Character segmentation is performed if the segmentation-based method is adopted for cursive word recognition, for the holistic method character segmentation is not performed

### Feature extraction

The aim of feature extraction is to allow the extraction of the pattern which is most important for the classification. Some of the Feature extraction techniques like Principle Component Analysis (PCA), Scale Invariant Feature Extraction (SIFT), Linear Discriminant Analysis (LDA), Histogram, Chain Code (CC), zoning, and Gradient-based features can be applied to extract the features of individual characters. All of these features are used to train the given system.

Thereby flattening the array into a vector of  $28 \times 28 = 784$  numbers. Thus, the image now converges to a minimal bunch of arrays in a 784-cell dimension of a highly efficient structure. The image now becomes a tensor of the n-dimensional array.

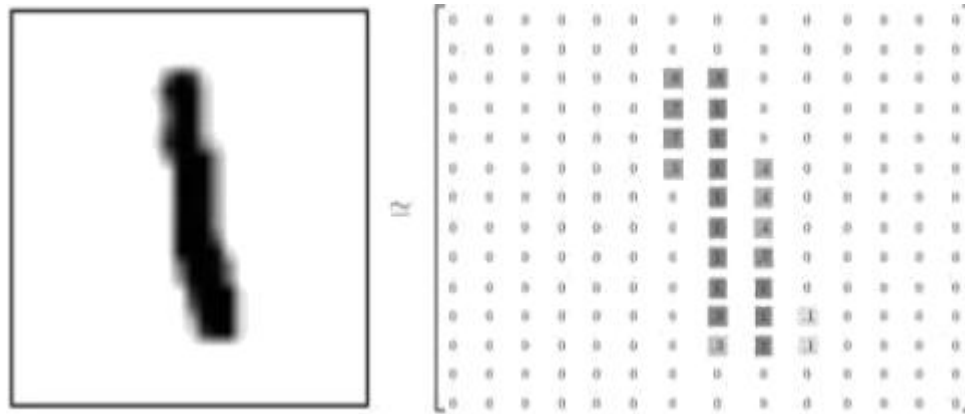


Figure 4. Feature extraction of data

### Classification

The classification stage is the decision-making part of a recognition system and it uses the features extracted in the previous stage. The feature vector is denoted as  $X = (f_1, f_2, \dots, f_d)$  where  $f$  denotes features and  $d$  is the no. features extracted from the character. Based on the comparison of feature vector characters are efficiently classified into appropriate classes and recognized. Classifiers are based on two types of learning methods.

#### 1. Supervised-learning

In supervised learning training data with correct detail of class is applied to train a model. This model is used to test data for proper classification. Training data includes both the input and the desired results. The model undergoes a learning process and based on this learning it classifies test data.

For example SVM, HMM, etc.

#### 2. Unsupervised-learning

An unsupervised learning model is not provided with training data. It does not require learning. The model classifies test data based on statistical properties and by their spatial grouping and considering their nearest neighbor. For example Clustering, k means, etc.

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### Post Processing

The final and last phase of character recognition is Post-processing. It is the procedure for correcting the misclassified output by using natural language. It processes output by getting it after the shape has been recognized. If the shape is recognized purely then the accuracy can be improved in accordance with the knowledge of the language. Shape recognizers behave differently for different handwriting inputs. For the few, it results in an individual character of string while also including a few numbers of alternates in the second case, by including the measure of confidence in every alternative

### Implementation

```
import matplotlib.pyplot as plt
import cv2
import numpy as np
from keras.models import Sequential
from keras.layers import Dense, Flatten, Conv2D, MaxPool2D, Dropout
from keras.optimizers import SGD, Adam
from keras.callbacks import ReduceLROnPlateau, EarlyStopping
from keras.utils import to_categorical
import pandas as pd
import numpy as np
from sklearn.model_selection import train_test_split
from sklearn.utils import shuffle

data = pd.read_csv(r"../A_Z Handwritten Data.csv").astype('float32')
```

```

print(data.head(10))

X = data.drop('0',axis = 1)
y = data['0']

train_x, test_x, train_y, test_y = train_test_split(X, y, test_size = 0.2)

train_x = np.reshape(train_x.values, (train_x.shape[0], 28,28))
test_x = np.reshape(test_x.values, (test_x.shape[0], 28,28))

print("Train data shape: ", train_x.shape)
print("Test data shape: ", test_x.shape)

word_dict =
{0:'A',1:'B',2:'C',3:'D',4:'E',5:'F',6:'G',7:'H',8:'I',9:'J',10:'K',11:'L',12:'M',13:'N',14:'O',15:'P',16:'Q',17:'R',18:'S',19:'T',20:'U',21:'V',22:'W',23:'X', 24:'Y',25:'Z'}

y_int = np.int0(y)
count = np.zeros(26, dtype='int')
for i in y_int:
    count[i] +=1

alphabets = []
for i in word_dict.values():
    alphabets.append(i)

fig, ax = plt.subplots(1,1, figsize=(10,10))
ax.barh(alphabets, count)

plt.xlabel("Number of elements ")
plt.ylabel(" Alphabets")
plt.grid()
plt.show()

shuff = shuffle(train_x[:100])

fig, ax = plt.subplots(3,3, figsize = (10,10))
axes = ax.flatten()

for i in range(9):
    _, shu = cv2.threshold(shuff[i], 30, 200, cv2.THRESH_BINARY)
    axes[i].imshow(np.reshape(shuff[i], (28,28)), cmap="Greys")
plt.show()

train_X = train_x.reshape(train_x.shape[0],train_x.shape[1],train_x.shape[2],1)
print("New shape of train data: ", train_X.shape)

test_X = test_x.reshape(test_x.shape[0], test_x.shape[1], test_x.shape[2],1)
print("New shape of train data: ", test_X.shape)

train_yOHE = to_categorical(train_y, num_classes = 26, dtype='int')
print("New shape of train labels: ", train_yOHE.shape)

test_yOHE = to_categorical(test_y, num_classes = 26, dtype='int')
print("New shape of test labels: ", test_yOHE.shape)

model = Sequential()

```

```

model.add(Conv2D(filters=32, kernel_size=(3, 3), activation='relu', input_shape=(28,28,1)))
model.add(MaxPool2D(pool_size=(2, 2), strides=2))

model.add(Conv2D(filters=64, kernel_size=(3, 3), activation='relu', padding = 'same'))
model.add(MaxPool2D(pool_size=(2, 2), strides=2))

model.add(Conv2D(filters=128, kernel_size=(3, 3), activation='relu', padding = 'valid'))
model.add(MaxPool2D(pool_size=(2, 2), strides=2))

model.add(Flatten())

model.add(Dense(64,activation = "relu"))
model.add(Dense(128,activation = "relu"))

model.add(Dense(26,activation = "softmax"))
model.compile(optimizer = Adam(learning_rate=0.001), loss='categorical_crossentropy', metrics=['accuracy'])

history = model.fit(train_X, train_yOHE, epochs=1, validation_data = (test_X,test_yOHE))

model.summary()
model.save(r'model_hand.h5')

print("The validation accuracy is :", history.history['val_accuracy'])
print("The training accuracy is :", history.history['accuracy'])
print("The validation loss is :", history.history['val_loss'])
print("The training loss is :", history.history['loss'])

fig, axes = plt.subplots(3,3, figsize=(8,9))
axes = axes.flatten()

for i,ax in enumerate(axes):
    img = np.reshape(test_X[i], (28,28))
    ax.imshow(img, cmap="Greys")

    pred = word_dict[np.argmax(test_yOHE[i])]
    ax.set_title("Prediction: "+pred)
    ax.grid()

    img = cv2.imread(r'./hw1.jpeg')
    img_copy = img.copy()

    img = cv2.cvtColor(img, cv2.COLOR_BGR2RGB)
    img = cv2.resize(img, (400,440))

    img_copy = cv2.GaussianBlur(img_copy, (7,7), 0)
    img_gray = cv2.cvtColor(img_copy, cv2.COLOR_BGR2GRAY)
    _, img_thresh = cv2.threshold(img_gray, 100, 255, cv2.THRESH_BINARY_INV)

    img_final = cv2.resize(img_thresh, (28,28))
    img_final = np.reshape(img_final, (1,28,28,1))

    img_pred = word_dict[np.argmax(model.predict(img_final))]

    cv2.putText(img, "Dataflair _ _ _", (20,25), cv2.FONT_HERSHEY_TRIPLEX, 0.7, color = (0,0,230))
    cv2.putText(img, "Prediction: " + img_pred, (20,410), cv2.FONT_HERSHEY_DUPLEX, 1.3, color = (255,0,30))
    cv2.imshow('Dataflair handwritten character recognition _ _ _', img)

while (1):
    k = cv2.waitKey(1) & 0xFF

```

```

if k == 27:
    break
cv2.destroyAllWindows()

```

## Experiment result



## Conclusion

Immense work and research have been done on handwritten separate character recognition. But so far 100% accuracy is not achieved which gives scope for further work in this direction. Separate characters give good accuracy but word recognition is affected by the different writing styles. The holistic method eliminates the complicated segmentation but they use a limited vocabulary. Segmentation-based method due to its complexity acquire less accuracy. Good accuracy is observed in the classifier where the scope of words is limited to fixing numbers as it has to deal with a limited number of variations.

### Advantages

A handwriting recognition system makes it easier to draft and produce documents as well as create useful applications such as keyboard-free input. A pen can provide powerful editing, marking, drawing, etc. functions when used with desktop computers. With the advancement of handwriting recognition technology, applications such as longhand note-taking in the classroom will become more common. They could write their documents and have them converted to text instantly without having to go through the iterative process of having their secretary type them.

### Disadvantages

The disadvantage is that it is not done in real-time as a person writes and therefore not appropriate for immediate text input. Sometimes, characters look very similar, making it hard for a computer to recognize accurately. Finding a proper spelling mistake is not work always.

### Future Work

- As mentioned in Section VII, research in OCR domains is usually done in some of the most widely spoken languages. This is partially due to the non-availability of datasets in other languages. One of the future research directions is to conduct research

on languages other than widely spoken languages, i.e., regional languages and endangered languages. This can help preserve the cultural heritage of vulnerable communities and will also create a positive impact on strengthening global synergy.

- Another research problem that needs the attention of the research community is to build systems that can recognize on-screen characters and text in different conditions in daily life scenarios, e.g. text in captions or news tickers, text on signboards, text on billboards, etc. This is the domain of "recognition / classification / text in the wild". This is a complex problem to solve as a system for such a scenario needs to deal with background clutters, variable illumination conditions, variable camera angles, distorted characters, and variable writing styles.
- To build a robust system for "text in the wild", researchers need to come up with challenging datasets that are comprehensive enough to incorporate all possible variations in characters. One such effort is [218]. In another attempt, the research community has launched "ICDAR 2019: Robust reading challenge on multilingual scene text detection and recognition"[219]. The aim of this challenge invites research studies that propose a robust system for multi-lingual text recognition in daily life or the "in the wild" scenario. Recently report for this challenge has been published and winner methods for different tasks in the challenge

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